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ORMATION DISCLOSURE STATEMENT Docket No. Under 37 CFR 1.97(b) or 1.97(c)) 1727 In Re Application Of: HAYES, FRANK F., JR. Application No. Customer No. Group Art Unit Confirmation No. Filing Date Examiner 3784 7/12/2003 1732 10/618,574 Title: METHOD AND APPARATUS FOR FORMING FLARED TUBE ENDS Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p).



OF INFORMATION DISCLOSURE STATEMENT TRANSMITTAL Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 1727 In Re Application: HAYES, FRANK F., JR. Customer No. Group Art Unit Confirmation No. Application No. Filing Date Examiner 3784 7/12/2003 1732 10/618,574 Title: METHOD AND APPARATUS FOR FORMING FLARED TUBE ENDS Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) □ A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I hereby certify that this correspondence is being deposited certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States as first class mail in an envelope addressed to Patent and Trademark Office (Fax. No. "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on Apr 12, 2005 (Date) (Date) Signature of Person Mailing Correspondence Signature Colette M. Angle Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: April 12, 2005 Signature Larry K. Roberts Registration No. 28,464 Law Offices of Larry K. Roberts, Inc. P.O. Box 8569 Newport Beach, CA 92658-8569 Telephone: (949) 640-6200 Facsimile: (949) 640-1206 cc:

PTO/SB/08A (08-03)

1732

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwoll Substitute for form 49/PTO Complete if Known **Application Number** 10/618,574 JULY 12, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor HAYES, FRANK F., JR.

Art Unit

Examiner Name

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Attorney Docket Number 1727 Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (# known)}			Figures Appear
		^{US-} 2001/0002755 A1	06/07/2001	Rowley, William W.	
		^{US-} 4,264,661 A	04/28/1981	Brandolf, Henry E.	
		^{US-} 3,949,045 A	04/06/1976	Hess et al.	
		^{US-} 4,009,982 A	03/01/1977	Maier, Johann H.	
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		WO 89/00492	01/26/1989	Tassinari, G.		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known BATTE Substitute for form 1449/PTO **Application Number** 10/618,574 INFORMATION DISCLOSURE Filing Date JULY 12, 2003 STATEMENT BY APPLICANT **First Named Inventor** HAYES, FRANK F., JR. Art Unit 1732 (Use as many sheets as necessary) Examiner Name Attorney Docket Number 1727 Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		Invitation to Pay Additional Fees with Annex Re Partial International Search, dated Dec 15, 2005 by European Patent Office re International Application No. PCT/US2004/021839		
	,			
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